

| | | | | |
|--|----------------------|------------------|------------|--|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Application Number | | 10573334 | |
| | Filing Date | | 2006-04-24 | |
| | First Named Inventor | HAMASHIMA et al. | | |
| | Art Unit | 3621 | | |
| | Examiner Name | | | |
| Attorney Docket Number | | URBA:003 | | |

| U.S. PATENTS | | | | | | | Remove |
|-------------------|---------|---------------|------------------------|------------|---|--|--------|
| Examiner Initial* | Cite No | Patent Number | Kind Code ¹ | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | |
| | 1 | | | | | | |

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

| U.S. PATENT APPLICATION PUBLICATIONS | | | | | | | Remove |
|--------------------------------------|---------|--------------------|------------------------|------------------|---|--|--------|
| Examiner Initial* | Cite No | Publication Number | Kind Code ¹ | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | |
| /SK/ | 1 | 20070076255 | A1 | 2007-04-05 | HAMASHIMA et al. | Related Co-pending Application | |

If you wish to add additional U.S. Published Application citation information please click the Add button.

Add

| FOREIGN PATENT DOCUMENTS | | | | | | | | Remove |
|--------------------------|---------|--------------------------------------|-----------------------------|------------------------|------------------|---|--|--------------------------|
| Examiner Initial* | Cite No | Foreign Document Number ³ | Country Code ² j | Kind Code ⁴ | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T ⁵ |
| /SK/ | 1 | 2002-006418 | JP | A | 2002-01-09 | Hiroki SAEUGUSA | English Abstract | <input type="checkbox"/> |
| /SK/ | 2 | 2003-085454 | JP | A | 2003-03-20 | Naoki KOZUKA | English Abstract | <input type="checkbox"/> |
| /SK/ | 3 | 2003-233491 | JP | A | 2003-08-22 | FUJIMOTO et al. | English Abstract | <input type="checkbox"/> |

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | | |
|------------------------|------------------|------------|
| Application Number | | 10573334 |
| Filing Date | | 2006-04-24 |
| First Named Inventor | HAMASHIMA et al. | |
| Art Unit | 3621 | |
| Examiner Name | | |
| Attorney Docket Number | URBA:003 | |

| | | | | | | | | |
|------|----|-------------|----|---|------------|----------------|--|--------------------------|
| /SK/ | 4 | 2000-215322 | JP | A | 2000-08-04 | TOKIWA et al. | English Abstract | <input type="checkbox"/> |
| /SK/ | 5 | 2002-318421 | JP | A | 2002-10-31 | MAEDA et al. | English Abstract Cited in Specification of Present Application | <input type="checkbox"/> |
| /SK/ | 6 | 2002-046324 | JP | A | 2002-02-12 | ANDO et al. | English Abstract Cited in Specification of Present Application | <input type="checkbox"/> |
| /SK/ | 7 | 2003-242178 | JP | A | 2003-08-29 | Mikio WATANABE | English Abstract | <input type="checkbox"/> |
| /SK/ | 8 | 2003-223347 | JP | A | 2003-08-08 | TERADA et al. | English Abstract | <input type="checkbox"/> |
| /SK/ | 9 | 11-088577 | JP | A | 1999-03-30 | Masahiro KUBO | English Abstract | <input type="checkbox"/> |
| /SK/ | 10 | 2000-293528 | JP | A | 2000-10-20 | SAKANO et al. | English Abstract | <input type="checkbox"/> |

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T ⁵ |
|--------------------|---------|---|--------------------------|
| /SK/ | 1 | Communication from JPO issued in Priority Document JP2003-333890, dated 02/02/07, citing references brought to the attention of the JPO by a third party. | <input type="checkbox"/> |
| /SK/ | 2 | Communication from JPO issued in Priority Document JP2003-333924, dated 02/02/07, citing references brought to the attention of the JPO by a third party. | <input type="checkbox"/> |

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | |
|------------------------|------------------|
| Application Number | 10573334 |
| Filing Date | 2006-04-24 |
| First Named Inventor | HAMASHIMA et al. |
| Art Unit | 3621 |
| Examiner Name | |
| Attorney Docket Number | URBA:003 |

| | | | |
|------|---|---|--------------------------|
| /SK/ | 3 | Communication from JPO issued in a priority document of the related co-pending application: JP2003-355220, dated 12/19/06, citing references brought to the JPO's attention by a third party. | <input type="checkbox"/> |
| /SK/ | 4 | Communication from JPO issued in a priority document of the related co-pending application: JP2003-379438, dated 01/16/07, citing references brought to the JPO's attention by a third party. | <input type="checkbox"/> |

If you wish to add additional non-patent literature document citation information please click the Add button **Add**

EXAMINER SIGNATURE

| | | | |
|--------------------|--------------|-----------------|------------|
| Examiner Signature | /Steven Kau/ | Date Considered | 06/05/2009 |
|--------------------|--------------|-----------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | |
|------------------------|------------------|
| Application Number | 10573334 |
| Filing Date | 2006-04-24 |
| First Named Inventor | HAMASHIMA et al. |
| Art Unit | 3621 |
| Examiner Name | |
| Attorney Docket Number | URBA:003 |

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.16. Please see CFR 1.4(d) for the form of the signature.

| | | | |
|------------|-----------------|---------------------|------------|
| Signature | /Marc A. Rossi/ | Date (YYYY-MM-DD) | 2007-05-16 |
| Name/Print | Marc A. Rossi | Registration Number | 31,923 |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Mitsuhiro HAMASHIMA et al.

Serial No.: 10/573,334

Filed: April 25, 2006
Title: IMAGE OUTPUT APPARATUS
AND IMAGE OUTPUT METHOD
Group Art Unit: 3621

Examiner:
Attorney Docket No.: URBA:003
Confirmation No.: 5438

VIA EFS-WEB

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sirs:

Pursuant to 37 C.F.R. §1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO/SB/08a. Applicants disclose that some of these references were cited in communications issued as a result of information provided to the Japan Patent Office by a third party for two of the Japanese priority applications. References JP2002-6418, JP 2003-85454 and JP2003-233491 were cited in the Priority application JP 2003-333890 and reference JP 2003-215322 was cited in the Priority application JP 2003-333924.

The Japanese Patent Office communications, citing these references, are herewith provided in the Japanese language. The English translations of these communications are not readily available to the undersigned. It is understood that these communications are not Office Actions and do not reflect the opinion of the Japan Patent Office examiner.

The reference JP 2002-046324, also cited on the attached PTO/SB/08a, was cited in the specification of the present United States application.

Applicant also discloses the following related co-pending application: SN. 10/576,232 published as US 2007-0076255 A1. The references cited in any Information

Disclosure Statements filed in this related co-pending application are listed on the PTO/SB/08a and copies of the foreign references are submitted herewith.

It is respectfully requested that this information be expressly considered during the prosecution of this application, that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom, and that an initialed copy of Form PTO/SB/08a be returned to the undersigned.

Respectfully submitted,

ROSSI, KIMMS & McDOWELL LLP



MARC A. ROSSI
REG. NO. 31,923

P.O. Box 826
ASHBURN, VA 20146-0826
703-726-6020 (PHONE)
703-726-6024 (FAX)

DATE

05-16-07